

LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTY. DOCKET NO.	APPLICATION NO.
			6925-310	TBA
			APPLICANT	Young et al.
			FILING DATE	GROUP
			March 15, 2002	2761

J10560/11 S. 764 PRO 04/15/02

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
SMD	AA	5,819,238	10/98	Fernholz	705	36	
	AB	5,799,287	8/98	Dembo	705	36	
	AC	5,774,880	6/98	Ginsberg	705	36	
	AD	5,689,652	11/97	Lupien et al.	705	37	
	AE	5,644,727	7/97	Atkins	705	40	
	AF	5,590,325	12/31/96	Kolton et al.	395	615	
	AG	5,517,406	5/14/96	Harris et al.	364	408	
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							YES	NO
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SMD	BE	WO 97/07475	02/27/97	PCT			
	BF	WO 96/17317	06/06/96	PCT			
	BG	WO 95/26005	09/28/95	PCT			
	BH	WO 95/06918	03/09/95	PCT			
	BI	GB 2274345A	07/20/94	Great Britain			
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SMD	BN	Sales, Robert, "Play Fair with the Little Guy," <i>Wall Street & Technology</i> , vol. 15, no. 1, page 42(5), January 1997.
	BO	Hayes, John R., "Acquisition is Fine, But Organic Growth is Better," <i>Forbes</i> , vol. 158, no. 15, pages 52(4), 12/96.
	BP	CCH Tax Law Editors. <i>1997 U.S. Master Tax Guide</i> , p. 465, 79th Edition. Chicago, November 1995.
	BQ	CPLEX Optimization, Inc. Using the CPLEX Callable Library. Version 4.0. 930 Tahoe Blvd., Bldg. 802, Incline Village, NV 89451. http://www.cplex.com , 1995.
	BR	H. Dahl et al., <i>Some Financial Optimization Models: I Risk Management; II Financial Engineering</i> . In "Financial Optimization," S.A. Zenios, Editor. Cambridge University Press, Cambridge, 1993.
	BS	"Reuters Ltd. - Company Report," Investext, pages 1-4, 7/1/92
	BT	D.G. Luenberger. <i>Linear and Nonlinear Programming</i> . Addison-Wesley, Reading, Massachusetts, Second Edition, 1984.
	BU	P.A. Minton. <i>Morgan Stanley's Portfolio Analytics System</i> . (Presentation) Domestic Traders Conference, Morgan Stanley, New York. March 11-13, 1994.
	BV	Adamidou et al., <i>The Optimal Portfolio System: Targeting Horizon Total Returns Under Varying Interest-rate Scenarios</i> .
	BW	H.Banks, <i>Great Expectations</i> , Forbes, December 2, 1996
	BX	J. Orford, <i>Trading on the Frontier</i> , Plan Sponsor, October 1996
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	BZ	Introducing a New Way to Trade, Optimark

EXAMINER	S. Diaz	DATE CONSIDERED	6/23/05
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.